



# STERLING

## Financial Adviser Authority – Investment Bond

*This Financial Adviser Authority is for this Sterling investment bond only. A separate authority is required in respect of each bond.*

### Investment Bond number

I/We hereby authorise the Financial Adviser Firm detailed below to act on my/our behalf in relation to the matters indicated below and to provide information and/or instructions to Sterling.

I/We request Sterling to act on any such information and/or instructions and, where appropriate, confirm that we are able to give this authority under the terms of any applicable trust.

I am/We are aware that this authority can be withdrawn at any time by written notification to Sterling, PO Box 461, Bishops Cleeve, Cheltenham, Gloucestershire, GL52 8ZN and that until such notification is received Sterling is entitled to rely on this authority and act on any information/instructions received from the Financial Adviser Firm (as detailed below) as if it/they were given directly by me/us.

By signing this authority you authorise Sterling to accept instructions relating to any of the following (if Sterling amend this list you may need to sign a new authority):

- Commence, change and/or stop regular withdrawals from the bond
- Change details of the bank account into which regular withdrawals are paid
- Partial or full surrender
- Fund(s) switch

### Please note:

- Your adviser will be required to submit a separate fund switch instruction for each bond.
- Sterling will not carry out any fund switch instruction that is incomplete or unclear.

First applicant/owner name

First applicant/owner signature

Date  
(DD/MM/YYYY)

Second applicant/owner name

Second applicant/owner signature

Date  
(DD/MM/YYYY)

Third applicant/owner name

Third applicant/owner signature

Date  
(DD/MM/YYYY)

Fourth applicant/owner name

Fourth applicant/owner signature

Date  
(DD/MM/YYYY)

### The Financial Adviser Firm:

Hereby confirms that it will act only in accordance with appropriate instructions from the legal owner(s) of the Bond in accordance with the permissions and authority granted by the Financial Services and Markets Act 2000 or any replacement legislation. If the authority is in connection with a new application, the applicant(s) / owner(s) has/have received the Key Features document (which includes the Fund Charges and Expenses document) and the Terms and Conditions.

Financial adviser firm name

Financial adviser firm address

Financial adviser name

Financial adviser signature  
(On behalf of Adviser Firm)

Sterling agency code

*Note to Adviser:  
A separate switch instruction will be required for each bond.*