



ZURICH[®]

Confirmation of verification of identity certificate

Please also complete an International Tax Compliance self-certification for any trustee, third party and attorneys acting under a power of attorney.

To be completed by the FCA regulated or EU regulated introducer

Please complete the certificate and complete a separate certificate for all parties to the contract (eg. joint applicants, trustees, settlors, Power of Attorney and third parties where you have been required to undertake identification).

Name of applicant*/trustee*/third party*/Power of Attorney* (in full)

Title	Surname	
Full forenames	Date of birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Nationality		
Address	Previous address if moved in last three months	
Postcode	Postcode	
Telephone		

I/We certify that:

- a) the information above was obtained by me/us in relation to the customer;
- b) the evidence I/we have obtained to verify the identity of the customer (tick one only):
- ☐ meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG, or
- ☐ exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)
- (c) I/we have reviewed the application and declaration and, based on the knowledge gained about the customer, I/we have (tick one only):
- ☐ no reason to believe the International Tax Compliance self-certification needs any follow up action; or
- ☐ reason to believe the International Tax Compliance self-certification needs follow up action

If follow up action is recommended, please explain why

This certificate cannot be used to verify the identity of any customer that falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- Those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations, or
- Those whose identity has been verified using the 'source of funds' as evidence.

If you have not verified the identity of the applicant, please give reasons below.

Adviser name

Adviser code

Address

Financial Services Register number

Postcode

Telephone

Details of person completing this certificate

Name

Job title

Signature

Date

Existing Trusts – Trustees

Where the settlor is deceased, written confirmation should be obtained from the trustees detailing the source of the funds. An original or certified copy of the Grant of Probate and/or copy of the Will creating the Trust will be sufficient for this purpose.

Child applications

Identification is required for those individuals making the application (eg. parent, guardian etc.).

Plans funded by third parties

Where funds are being provided by a third party (ie. someone other than the applicant) then the third party needs to be identified, in addition to the applicant.

Authorised signatories

In addition to identifying the applicant, verification of identity is also required for any individual who has control over the funds eg. individuals acting under a Power of Attorney.

*Delete as applicable. Beneficial owners must also be identified if different from the applicants.

Note this certificate must be signed by an officer of the Introducer Firm who is authorised to confirm the accuracy and effectiveness of the firm's customer identification verification records to which this certificate relates.

We cannot accept photocopies of completed certificates.

Please contact us or your adviser if you would like this in large print, Braille or on audio tape or CD.

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